I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Technology Center 3600, Commissioner Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Rovember 24, 2003.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANT :

RAYMOND A. JOAO

SERIAL NO.:

09/639,908

FILED

RJ251

AUGUST 16, 2000

FOR

APPARATUS AND METHOD FOR PROVIDING FINANCIAL

INFORMATION AND/OR INVESTMENT INFORMATION

EXAMINER

J. BERGIN

GROUP

3624

CONF. NO. :

3583

Mail Stop Technology Center 3600 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RE-SUBMISSION OF "AMENDMENT TO THE CLAIMS" SECTION OF APPLICANT'S AMENDMENT AND RESPONSE TO OFFICE ACTION FILED ON AUGUST 8, 2003

Sir:

This is a Re-Submission of the entire "Amendment to the Claims" section of Applicant's Amendment and Response to Office Action filed on August 8, 2003.

This Re-Submission is responsive to the "Notice of Non-Compliant Amendment (37 CFR 1.121)", mailed November 19, 2003.

The corrected "Amendments to the Claims" section is provided as follows: